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Robert Prunty is a performance-driven federal leader with nearly 20 years of ERISA enforcement, financial analysis, and compliance experience. He currently serves as Acting Regional Director of the U.S. Department of Labor's Employee Benefits Security Administration's (EBSA) Los Angeles Regional Office, where he leads civil and criminal ERISA enforcement and benefits assistance operations across Southern California, Arizona, and Hawaii. Previously, he served as the Deputy Regional Director, Financial Analyst, and as a Senior Investigator within EBSA, building deep expertise in retirement and health plan investigations, fiduciary misconduct, and complex financial matters. Before EBSA, he worked as a Compliance Principal at a large independent broker-dealer and RIA, overseeing compliance audits and regulatory reviews of financial advisors and branch offices, as well as passed Series 7, 66, 24, 53, 3, and 4 FINRA examinations.

Mr. Prunty holds several professional designations, including, CERTIFIED FINANCIAL PLANNER™ (CFP®), Chartered Life Underwriter (CLU®), Chartered Financial Consultant (ChFC®), as well as the IFEBP's Certified Employee Benefits Specialist (CEBS®), Retirement Plan Associate (RPA®), and Group Benefits Associate (GBA®) designations.